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ATTORNEY DOCKET NO.: 14103.0001

SERIAL NO. 09/398,268

PATENT AND TRADEMARK OFFICE

APPLICANT: Ross, Jr. et al.

LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)

FILING DATE: September 17, 1999

GROUP: 27562142

				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<u> </u>	AA	6,029,141	02/22/00	Bezos et al.	705	27	
<u></u>	AB	5,319,542	06/07/94	King, Jr. et al.	364	401	2000
	AC	5,590,197	12/31/96	Chen et al.	_380	-24	
	AD	5,715,3 <b>1</b> 4	02/03/98	Payne et al.	380	24	
	AE	5,717,860	02/10/98	Graber et al.	395	200.12	
	-AF-	5,745,681	04/28/98	Levine et al.	-395	200.3	
	AG	5,812,769	09/22/98	Graber et al. Co	395	200.12	
	AH (	5,819,285	: 10/06/98	Damico et al. S S	707	一品に	T.VEU
	ĀI	5,991,735	11/23/99	Gerace O	705	10 NCT	2_1_2002
	ΑJ	5,848,396	12/08/98	Gerace	705	10	
	AK	<del>-5,991,74</del> 0	11/23/99	Messer	705	rechnolog	Center 2100

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AL	Dialog file 16 (database PROMT(R)), No. 6016914, BookSite launches version 3.0 of the popular electronic commerce web site. Business Wire, 2 pages, Feb. 23, 1996
	AM	Dialog file 16 (database PROMT(R)), No. 6296727, "Amazon com Introduces "Amazon.com Associates" - a new model for internet-based commerce." Business Wire, 3 pages, Jul 18, 1996.
$\sim$	_AN_	Can Mixing 'Cookies' with Online Marketing be a Receipe for Heartburn? (Infoworld, vol. 18, No. 30), Jul. 22, 1996.
	AO	RealTime Travel Info Available Online (Dialog database file 9, document 01107096), Jan. 17, 1995.
	AP	Online Growth Virtually Untapped; PC Vendors Taking More Advantage of Booming Sales (Computer Retail Week vol. 4, No. 64, p. 160), Jun. 6, 1994.
	AQ_	Selected document from Books.com Web site describing Book Stackes Unlimited links partner program.
	AR	Selected documents from Incognite Cafe Web site describing several on line bookstore links, undated (5 printed pages).
	-as	Resnick P., Jacovou, N., Suchak, M., Bergstrom, P., and Riedl, J., GroupLens: An Open Architecture for Collaborative Filtering of Netnews. Proceedings of ACM 1994 Conference on Computer Supported Cooperative Work, Chapel Hill, NC, pp. 175-186.
	AT	Balabanovic, M., and Shoham, Y., Fab: Content-Based Collaborative Recommendation. Communications

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DATE CONSIDERED:

of the ACM, vol. 40., No. 3, (Mar. 1997) pp. 66-73

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to

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applicant.

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ATTORNEY DOCKET NO.: 14103.0001

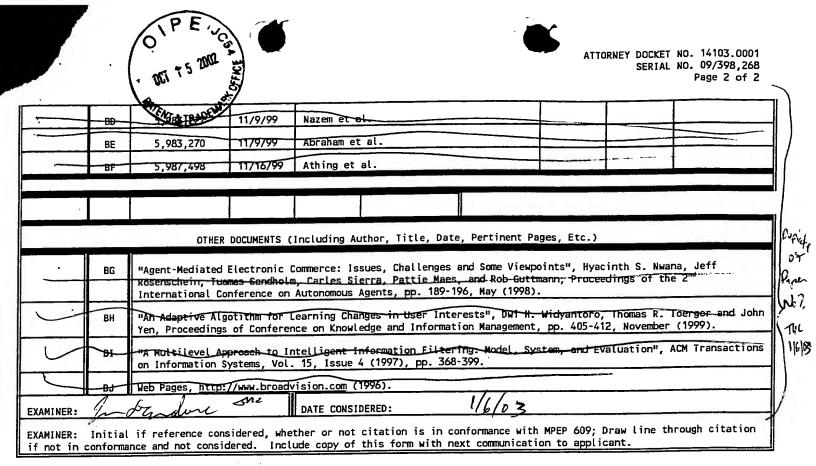
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LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)

APPLICANT: Ross, Jr. et al. FILING DATE: September 17, 1999

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				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		<del>5,513,270</del>	5/7/96	Weinblatt			
	AB	5,537,314	7/16/96	Kanter			
	ĀC	5,699,528	12/16/97	Hogan			
	AD	5,710,887	1/20/98	Chellich ot al.			
	AE	5,712,979	1/27/98	Graber et al.			
	AF	5,721,827	2/24/98	Logan et al.	R	ECE	W ED
	AG	5,724,424	5/5/98	Gifford		OCT 2 1	2002
	-AH	5,809,481	9/15/98	Baron et al.		00121	2002
	AI	5,825,884	-10/20/98	Zdepski et al.	Tech	nology Ce	nter 2100
	, AJ	5,860,068	1/12/99	Cook			
•	AK	5,862,325	1/19/99	Reed et al.			
		5,878,219	3/2/99	Vance, Jr. et al.			
	- AL			Duvall et al.			
	-AM	5,884,033	3/16/99				
	- AN	5,884,045	3/16/99	Kurihara			
	AÛ	5,893,091	4/6/99	Hunt et al.			
	AP	5,895;468	4/20/99	Whitmyer, Jr.			
	AQ	5,898,836	4/27/99	Freivald et al.			
	AR	5,907,830	5/25/99	Engel et al.			
	AS	5,913,040	6/15/99	Rakavy et al.			
	-AT	5,913,202	6/15/99	Motoyana			
	AU-	5,915,243	6/22/99	Smolen			
	AV	<del>- 5,918,239</del>	-6/ <del>29/99</del>	Allen-et-al:			
	_AW_	5,926,798	7/20/99	-Garter			
	ΑX	5,930,765	7/27/99	Martin			
	AY	5,937,392	8/10/99	Alberts			,
	AZ	5,940,843	8/17/99	Zucknovich et al.			
	BA	5,956,709	9/21/99	Xue			,
	BB	5,963,915	10/5/99	Kirsch			
	BC T	<del>5,978,</del> 766	11/2/99	Luciw			



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SERIAL NO. 09/398,268

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LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)

APPLICANT: Ross, Jr. et al.

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FILING DATE: September 17, 1999 GROUPLE CASE CONTROL OF CONTROL OF

		No.		U.S. PATENT DOCUMENTS		GROU	P 2700
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
The	AA	5,515,270	5/7/96	Weinblatt		)	
82c	AB	5,537,314	7/16/96	Kanter MAR 2 1 2000		)	
TOE	AC	5,699,528	12/16/97	Hogan		/	
Onc	AD	5,710,887	1/20/98	Chelliah et al.			
OPZ	AE	5,712,979	1/27/98	Graber et al.	4	<i>j</i>	
M	AF	5,721,827	2/24/98	Logan et al.		_	
Die	AG	5,724,424	3/3/98	Gifford		)	
Dre	АН	5,809,481	9/15/98	Baron et al.		)	
Mi	ΑI	5,825,884	10/20/98	Zdepski et al.		)	
orí	AJ	5,860,068	1/12/99	Cook			
ne	AK	5,862,325	1/19/99	Reed et al.		)	
De	AL	5,878,219	3/2/99	Vance, Jr. et al.			
PX	АМ	5,884,033	3/16/99	Duvall et al.		(	
712	AN	5,884,045	3/16/99	Kurihara		(	
TOR	AO	5,893,091	4/6/99	Hunt et al.		(	
JP2	AP	5,895,468	4/20/99	Whitmyer, Jr.		1	
784	AQ	5,898,836	4/27/99	Freivald et al.	,	_	
DC	AR	5,907,830	5/25/99	Engel et al.		(	
one	AS	5,913,040	6/15/99	Rakavy et al.		(	
DE	AT	5,913,202	6/15/99	Motoyama		_	
De	AU	5,915,243	6/22/99	Smolen			
DR	AV	5,918,239	6/29/99	Allen et al.			
DL	AW	5,926,798	7/20/99	Carter			
De	AX	5,930,765	7/27/99	Martin		(	
Jos	AY	5,937,392	8/10/99	Alberts			
Sur	AZ	5,940,843	8/17/99	Zucknovich et al.			
TOC	ВА	5,956,709	9/21/99	Xue		(	
JOK	88	5,963,915	10/5/99	Kirsch			
PV	BC	5,978,766	11/2/99	Luciw			

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022	BD	5,983,227	11/9/99	Nazem et al.			
302	BE	5,983,270	11/9/99	Abraham et al.			
TM	8F	5,987,498	11/16/99	Athing et al.			
						MAR 2 2 2000	
		OTHER	R DOCUMENTS (	Including Author, Titl	e, Date, Pertinent Pa	ages, Etc.) GROUP 2700	
the	BG	"Agent-Mediated Electronic Commerce: Issues, Challenges and Some Viewpoints", Hyacinth S. Nwana, Jeff Rosenschein, Tuomas Sandholm, Carles Sierra, Pattie Maes, and Rob Guttmann, Proceedings of the 2 <sup>nd</sup> International Conference on Autonomous Agents, pp. 189-196, May (1998).					
Joc	ВН	"An Adaptive Algotithm for Learning Changes in User Interests", Dwi H. Widyantoro, Thomas R. Ioerger and John Yen, Proceedings of Conference on Knowledge and Information Management, pp. 405-412, November (1999).					
The	BI	"A Multilevel Approach to Intelligent Information Filtering: Model, System, and Evaluation", ACM Transactions on Information Systems, Vol. 15, Issue 4 (1997), pp. 368-399.					
Die	ВJ	Web Pages, http		vision.com (1996).			
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U.S. DEPARTMENT OF COMMERCE (Rev. 1940) SERIAL NO. TTORNEY DOCKET NO.: 14103.0001 80) APPLICANT: Ross, Jr. et al. PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT GROUP: FILING DATE: September 17, 1999 (Use several sheets if necessary)

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11				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
THE	AA	6,029,141	02/22/00	Bezos et al.	905	27	
Tre	АВ	5,319,542	06/07/94	King, Jr. et al.	<del>364</del> ,	401	
Obe	AC	5,590,197	12/31/96	Chen et al.	380	24	
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Tec	AE	5,717,860	02/10/98	Graber et al.	395	200.12	
Onc	AF	5,745,681	04/28/98	Levine et al.	<del>395</del>	200.3	
4nc	AG	5,812,769	09/22/98	Graber et al.	3 <del>9</del> 5.	2 <del>00 : 12</del>	
The	АН	5,819,285	10/06/98	Damico et al.	707	104	
. TPC	AI	5,991,735	11/23/99	Gerace	705	10	
TBL	AJ	5,848,396	12/08/98	Gerace	705	10	
Bu	AK	5,991,740	11/23/99	Messer	705	27-	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
JR	AL	Dialog file 16 (database PROMT(R)), No. 6016914, BookSite launches version 3.0 of the popular electronic commerce web site." Business Wire, 2 pages, Feb. 23, 1996					
JPC	AM	Dialog file 16 (database PROMT(R)), No. 6296727, "Amazon.com introduces "Amazon.com Associates" - a new model for internet-based commerce." Business Wire, 3 pages, Jul 18, 1996.					
TR	AN	Can Mixing 'Cookies' with Online Marketing be a Receipe for Heartburn? (Infoworld, vol. 18, No. 30), Jul. 22, 1996.					
De	AO	RealTime Travel Info Available Online (Dialog database file 9, document 01107096), Jan. 17, 1995.					
JOL	AP	Online Growth Virtually Untapped; PC Vendors Taking More Advantage of Booming Sales (Computer Retail Week vol. 4, No. 64, p. 160), Jun. 6, 1994.					
Toc	AQ	Selected document from Books.com Web site describing Book Stackes Unlimited links partner program.					
me	AR	Selected documents from Incognito Cafe Web site describing several on-line bookstore links, undated (5 printed pages).					
Me	AS	Resnick P., Iacovou, N., Suchak, M., Bergstrom, P., and Riedl, J., GroupLens: An Open Architecture for Collaborative Filtering of Netnews. <i>Proceedings of ACM 1994 Conference on</i> Computer Supported Cooperative Work, Chapel Hill, NC, pp. 175-186.					
toc	ΑТ	Balabanovic, M., and Shoham, Y., Fab: Content-Based Collaborative Recommendation. <i>Communications</i> of the ACM, vol. 40., No. 3, (Mar. 1997) pp. 66-73.					
EXAMINER: The D Cardre me DATE CONSIDERED: 1/6/63							

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